



UNITED STATES DEPARTMENT OF COMMERCE  
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Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/146,952 09/04/98 INQUE

A 640301/0039

0232/0918

NOT ASSIGNED

FOLFY & LARDNER  
3000 K STREET NW  
SUITE 500 PO BOX 235696  
WASHINGTON DC 20007-8696

2731

DATE MAILED:

09/18/98

### NOTICE TO FILE MISSING PARTS OF APPLICATION

*Filing Date Granted.*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 1468.00

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 790.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 548.00, including any multiple dependent claim fees, are required.

\$ 372.00 for 4 independent claims over 3.

\$ 220.00 for 10 dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the original inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/17/1998 5THORNTD 00000006 09146952  
790.00  
130.00  
328.00  
220.00  
02 FC:101  
03 FC:105  
04 FC:102  
05 FC:103

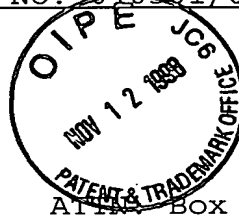
SECTOR\$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 040301/0539

In re Patent Application of  
Atsushi INOUE et al.

Serial No. 09/146,952



ATTN: Box Missing Parts

Filed: September 4, 1998

For: MOBILE IP COMMUNICATION SCHEME INCORPORATING  
INDIVIDUAL USER AUTHENTICATION

TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

Responsive to the Notice to File Missing Parts of  
Application under 37 CFR 1.53(d) mailed September 18, 1998,  
attached hereto are the following to complete the formal  
filing requirements in the above-identified application:

- |           |   |
|-----------|---|
| <u>XX</u> | Declaration and Power of Attorney signed<br>by each Inventor.   |
| <u>XX</u> | Check in the amount of <u>\$1,508.00</u> in<br>payment of the \$790.00 official Government<br>filing fee, including \$130.00 surcharge,<br>\$220.00 fee for 10 additional dependent<br>claims, \$328.00 fee for 4 additional<br>independent claims and \$40.00 Assignment<br>recordation fee. |
| _____     | Small Entity Declaration signed by or on<br>behalf of each individual, concern or<br>organization having rights in the subject<br>invention.  |
| <u>XX</u> | Assignment to KABUSHIKI KAISHA TOSHIBA<br>with transmittal letter and check covering<br>recordation fee (recordation fee of \$40<br>included in attached check).  |

Serial No. 09/146,952

\_\_\_\_\_ Claim for Convention Priority with  
certified copy of the priority document.


XX A copy of the Notice to File Missing Parts  
of Application (Form PTO-1533) mailed  
September 18, 1998.

The Commissioner is hereby authorized to charge any  
additional fees or credit any overpayment to Deposit Account  
No. 19-0741.

Receipt is now awaited by Applicants of the official  
Filing Receipt confirming the above-noted filing date and  
Serial Number.

Respectfully submitted,

November 12, 1998

  
\_\_\_\_\_  
Richard L. Schwaab  
Reg. No. 25,479

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